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(Revised 1/3/11)

Employee Post-Travel Disclosure of Travel Expenses

Date/Time Stamp:
RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS

170CT 24 AMII: 15

Form RE-2

Post-Travel Filing Instructions: Complete this form within 30 days of returning from travel. Submit all forms to the Office of Public Records in 232 Hart Building.

In compliance with Ru be reimbursed/paid for	7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	_	sures with respect to	travel expenses that have been or	will
· — · — · · · · · · · · · · · · · · · ·		rization (Form RE-1), <u>A</u> ertification Form with all		y, invitee list, etc.)	
Private Sponsor(s) (list	all): Allian	ce to Save	Energy		_
		uly 21,20			
Name of accompanying Relationship to Travele	g family member (if a er:	ny): <u> </u>	<u>・</u>		
	OSTS IN EMPLOYEE	EASE DUE TO THE ACC EXPENSES. (Attach addit		SE OR DEPENDENT CHILD, ONLY	Y.
Expenses for Employe	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)	
Good Faith Estimate Actual Amount	4950	NO N	\$74		
Expenses for Accomp	anying Spouse or De	ependent Child (if applie	able):		
	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)	
☐ Good Faith Estimate ☐ Actual Amount					
	of all meetings and ev		te Rule 35.2(c)(6). (A	ttach additional pages if	
10 24 17 (Date)	,	Mae Stewname of traveler)	ens Jan	Signature of traveler)	<u>一</u>
TO BE COMPLETED	BY SUPERVISING	MEMBER/OFFICER:			
	-	es set out above in connection, lodging, and relate		scribed in the <i>Employee Pre-Trav</i> in Rule 35.	el
10 24 17 (Date)			(Signature of Supe	rvising Senator/Officer)	

Friday, July	21 st
7:00 AM	Depart Washington National Airport
	United Airlines Flight 605*
	*Possible flight - airfare not yet purchased
8:00 AM	Arrive at Chicago O'Hare Airport
, 8:30 AM	Depart for Midwest Energy Efficiency Alliance office
•	Cab
9:00 AM	Arrive at Midwest Energy Efficiency Alliance office
:	20 N Upper Wacker Dr., Chicago, IL 60606
	Stacey Paradis, Executive Director, Midwest Energy Efficiency Alliance
•	Policy Perspectives is an event series that the Alliance hosts throughout the year. Policy
	Perspectives speaker series provides exclusive opportunities for Alliance Associate &
:	Board members to connect with key energy efficiency policymakers in an intimate and
	informal setting. Highly regarded by both our members and former event speakers,
	these gatherings offer candid discussions about the future of energy efficiency policy
t t	and the potential impact on key industry stakeholders, businesses and NGOs. This
	particular Policy Perspectives event will focus on energy productivity in the Chicago
	metro area and feature staff from Siemens as well as local officials and industry leaders.
11:00 AM	Conclusion of "Policy Perspectives" Event
<u>:</u>	Begin Roundtable Luncheon with meeting speakers and attendees.
12:00 PM	Conclude Roundtable Luncheon and Depart for ComEd Chicago Training Center
	Cab
12:30 PM	Arrive at ComEd Chicago Training Center for Tour
	3535 S. Iron St.
	Val Jensen, Senior Vice President, Customer Operations, ComEd
	Training the energy workers of the future in the most efficient technology practices is a
	huge challenge facing the industry today. The facility at 3535 S. Iron St. houses 40 full-
1	time staffers who train energy workers in 51,000 square feet of classroom and indoor
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<u> </u>	interactive station designed to help elementary, middle high school students and adults
1 1	learn about how electricity is generated and delivered and the technologies available to
	manage electricity usage and save money on electric bills.
2:30 PM	Depart for Shedd Aquarium
<u> </u>	Cab
3:00 PM	Arrive at Shedd Aquarium for Meeting & Tour
Įi ti	1200 S Lake Shore Dr, Chicago, IL 60605
li 	Trisha Knych, Vice President, Government Relations, Schneider Electric
	Elizabeth Joyce, Strategic Partnership Builder, Shedd Aquarium
	The group will be meeting with Shedd Aquarium and Chicago-based Schneider Electric
	staff, to be followed by a private, efficiency-focused tour of the Aquarium. In 2013, the
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	2020. The Schneider Electric Building Management System (BMS) allows Shedd
	Aquarium to manage everything inside the building from lighting, via life support

•	systems, to HVAC. The tour will allow participants to learn about how the applications of Schneider technologies are enabling different types of buildings and businesses to be more efficient.
5:15 PM	Depart Shedd Aquarium for Dinner in Downtown Chicago/Waterfront Area
6:30 PM	Conclusion of Trip – Transportation to Chicago O'Hare Airport for flight back to Washington National Airport
8:00 PM	American Airlines Flight 154* Departs for Washington National Airport *Possible flight – airfare not yet purchased
11:00 PM	Arrive at Washington National Airport in Washington, DC

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should NOT submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee MUST also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

Spo	nsor(s) of the trip (please list all sponsors): Alliance to Save Energy
Des	cription of the trip: A fact-finding trip to Illinois to learn about policies and
bes	t practices that are helping to drive advances in energy efficiency and energy productivity.
Dat	es of travel: July 21 - July 21, 2017
Plac	e of travel:
Nar	ne and title of Senate invitees: Please see attached
l ce	rtify that the trip fits one of the following categories:
	(A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip. - OR -
X	(B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (see question 9).
X	I certify that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
_	- AND -
×	I certify that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
I ce	rtify that:
X	The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for <i>de minimis</i> lobbyist involvement. - AND -
X	The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (see question 9).

9.	USE ONLY IF YOU CHECKED QUESTION 6(B) I certify that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a
	foreign principal, one of the following scenarios applies:
	(A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member officer, or employee on any segment of the trip.
	→ OR →
	□ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip (see questions 6 and 10) OR -
	(C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.
10.	USE ONLY IF YOU CHECKED QUESTION 9(B) If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:
11.	An itinerary for the trip is attached to this form. I certify that the attached itinerary is a detailed (hourby-hour), complete, and final itinerary for the trip.
12.	Briefly describe the role of each sponsor in organizing and conducting the trip:
	The Alliance to Save Energy, as the sole sponsor of this trip, was responsible for planning the trip in its
	entirety and staff members of the Alliance will accompany all participants throughout the duration of the
	trip.
13.	Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:
	Please see attached.
14.	Briefly describe each sponsor's prior history of sponsoring congressional trips:
	Please see attached.
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Please see attached.					
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Total Expenses for Ea	ach Participant:				
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	Expenses	Expenses	Expenses	Expense	
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☑ Good Faith					
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21.	Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:
	For the purposes of this trip, the cost of meals and other incidental expenses will remain within the per
	diem limit set by the General Services Administration.
22. _.	Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:
	Attendees will take a plane to Chicago, which will be coach.
	To attend sites in the greater Chicago area we will use public transit, mini bus or taxis for transportation.
23.	I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).
24.	List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:
	No entertainment will be provided to, paid for or reimbursed to Senate Invitees by the Alliance to Save
	Energy.
25.	completed signature block below for each travel sponsor.):
	Signature of Travel Sponsor:
	Name and Title: Kateri Callahan, President
	Name of Organization:
	Address: 1850 M Street, NW, Sulte 610, Washington, DC 20036
	Telephone Number: (202) 857-0666
	Fax Number: (202) 334-9588
	E-mail Address: kcallahan@ase.org

For questions, please contact Reed Brown at rbrown@ase.org or (202) 530-2241.

Addendum to Senate Private Sponsor Travel Certification Form

5.

The following staff members have been invited to participate on this trip.

- Mae Stevens, Environmental Policy Advisor, Office of Senator Ben Cardin
- Pat Orth, Legislative Assistance, Office of Senator Rob Portman
- Morgan Cashwell, Legislative Assistant, Office of Senator Angus King

13.

The Alliance to Save Energy promotes energy efficiency worldwide to achieve a healthier economy, a cleaner environment and energy security. To achieve this mission, the Alliance:

- Leads worldwide energy efficiency initiatives in policy advocacy, research, education, technology deployment and communications that impact all sectors of the economy.
- Provides vision and activism which includes active and engaged members of Congress, leaders from business, the public interest sector and academia.
- Initiates and participates in public-private partnerships, collaborate efforts and strategic alliances to optimize resources and expand its sphere of influence.
- Executes its mission through a team of recognized energy efficiency experts and professionals.

The purpose of this trip is learn about policies and best practices that are helping to drive advances in energy productivity across the country. Our hope is that through visits to sites identified on the itinerary and through meetings with local and state officials that the Congressional staff members will be able to learn about state and business activities and, in turn, use that new knowledge to develop, refine and advance policies at the federal level.

14.

The Alliance has previously sponsored several Congressional field trips.

- Field trip to Newark, New Jersey and New York, New York that took place from February 21-23, 2017
- Field trip to Boston, Massachusetts that took place from August 10-12, 2016.
- Field trip to San Francisco Bay Area that took place from August 12-14, 2015.
- Field trip to Hartford, CT on August 22-23, 2012 (including travel days).
- Field trip to Denver, CO on January 11-13, 2012 (including travel days).
- Field trip to Philadelphia, PA on August 26, 2011.
- Field trip from August 28, 2005 September 2, 2005 to:
 - o Berlin, Germany
 - o Stuttgart, Germany
 - o Brussels, Belgium
 - o Terneuzen, Netherlands
 - o Rotterdam, Netherlands
 - o Amsterdam, Netherlands

Field trip to New York and California that took place from November 30, 2004 –
 December 3, 2004.

15.

The Alliance hosts a range of educational activities.

- Congressional Briefings
 - O We host Congressional briefings throughout the year, which typically focus on a particular aspect related to energy efficiency.
 - March 16, 2017: Beyond Roads & Bridges: The Role of Energy Efficiency Policy in Infrastructure Investments

- July 14, 2016: Congressional Briefing on Grid Edge: The Role of Energy
 Efficiency in a Modernizing Energy System
- February 26, 2016: The Role of Benchmarking, Transparency and Codes in Driving a More Efficient Built Environment.
- January 14, 2016: Cutting Edge Technologies and Businesses: Opening the Door for Energy Efficiency Deployment at Scale
- Energy Efficiency Global Forum
 - An annual event convened in Washington, DC that brings together executives and policymakers from around the world and across sectors to discuss energy efficiency. In 2017, this exclusive forum explored how utilities, regulators, legislators and companies are incorporating cost-effective energy efficiency measures into their business to help meet increasing demand. The next EE Global Forum is scheduled for May of 2018 in Copenhagen, Denmark.
- Accelerate Energy Productivity 2030
 - o A partnership between the U.S. Department of Energy, Alliance to Save Energy and Council on Competitiveness to catalyze action in the public and private sectors to help achieve the goal of doubling our nation's energy productivity.
 - O As part of this initiative, three webinars were held in April to provide an in-depth look at several unique aspects of energy efficiency:
 - April 13, 2016: Building Technologies and Finance
 - April 20, 2016: Smart Grid and Smart Manufacturing
 - April 27, 2016: Transportation and Water
- Energy 2030 Events
 - o Energy 2030 On the Road These are education, outreach and action campaigns aimed at urging communities through the nation to adopt the goal of doubling U.S. energy productivity. They are held around the country and last year included stops in Seattle, WA, Raleigh, NC and Minneapolis, MN. They follow the same format of other events where panelists discuss a specific theme of energy efficiency and are widely attended by members of local governments, academia, local and state government and the surrounding community.
- Policy Perspectives Breakfasts
 - O Breakfast sessions where we invite a representative from a Federal agency or Congressional office (Senator/Congressman) to come and speak about priorities or updates regarding energy efficiency for the respective agency or office. There

are plans for several of these events in 2017, including in Washington, DC and around the country.

18.

There are several reasons why we have chosen Illinois as the destination for this trip.

- The American Council for an Energy-Efficiency Economy (ACEEE) produces the annual State Energy Efficiency Scorecard, which ranks states based on energy efficiency policies and practices. Illinois has consistently been in the top 10, and ranked #13 in 2016. The state offers loan and bond programs to encourage energy efficiency, and leads by example by requiring efficient fleets and public buildings. The state has several ongoing energy performance contracts. Research focused on energy efficiency occurs at several research centers in Illinois. In addition to the state ranking, ACEEE also ranks a selection of major cities on the same criteria and Chicago was ranked #7 in 2017. Therefore, Chicago is an excellent option for such a trip because we can incorporate strong local level actions along with the broader state-level actions.
- The Alliance to Save Energy is also hosting a public briefing in the Chicago metro area on July 21, which will be included as part of the agenda. The briefing is entitled, "Making the Business Case: How Data is Driving Energy Efficiency Investment in Chicago," and will feature speakers from state and local government, regional organizations and local business leaders. A full agenda for the event is included below.
- The Greater Chicago area boasts a wide range of field examples and opportunities to learn about energy efficiency, including:
 - o ComED
 - Commonwealth Edison Company (ComEd) provides electric service to approximately 3.8 million customers across northern Illinois, or 70 percent of the state's population. ComEd is a subsidiary of Exelon Corporation (NYSE: EXC), the nation's leading competitive energy supplier.
 - The Chicago Training Center, and its sister facility in Rockford, were made possible by the smart grid law passed by the Illinois General Assembly in 2011. The smart grid law opened the doors for ComEd to modernize its 100-year old electric delivery system and provide customers with improved power reliability and more programs and options to manage electricity usage, while also enhancing economic development in Illinois and building smart infrastructure for the future. The new facility is the second training center that ComEd has built from the ground up in its 130-plus-year history. It is specially designed to educate and train the workforce of the future.
 - o Schneider Electric & Shedd Aquarium
 - Schneider Electric is the global specialist in energy management and automation. Schneider Electric develops connected technologies and solutions to manage energy and process in ways that are safe, reliable, efficient and sustainable. The Group invests in R&D in order to sustain innovation and differentiation, with a strong commitment to sustainable development.

Shedd Aquarium in Chicago, IL is currently home to more than 1,500 species, including fish, marine mammals, birds, snakes, amphibians, and insects from all over the world. In 2013, the aquarium committed to an ambitious goal of cutting energy consumption in half by 2020. Achieving that goal meant conducting extensive research and analysis to identify problem areas. The Schneider Electric Building Management System (BMS) allows Shedd Aquarium to manage everything inside the building from lighting, via life support systems, to HVAC.

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	Elizabeth Joyce, Strategic Partnership Builder, Shedd Aquarium
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(Revised 10/19/15)

Date/Time Stamp:

Form RE-L

EMPLOYEE PRE-TRAVEL AUTHORIZATION

<u>Pre-Travel Filing Instructions</u>: Complete and submit this form at least 30 days prior to the travel departure date to the Select Committee on Ethics in SH-220. Incomplete and late travel submissions will <u>not</u> be considered or approved. This form <u>must</u> be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

Name of Traveler:	Sarah Mae Stevens
Employing Office/Committee:	Sen. Ben Cardin
Private Sponsor(s) (list all): Alliance	to Save Energy
Chicago, IL	trip for any reason you <u>must</u> notify the Committee.
Destination(s):	
Explain how this trip is specifically co	nnected to the traveler's official or representational duties:
Building Standards are within that subcommitted Siemens Building Technologies Innovation Ce Chicago Training Center for a tour and see ho	ansportation and Infrastructure Subcommittee on the Environment and Public Works Committee. Green se's jurisdiction. This trip will include a site visit to Siemens Building Technologies Headquarters and inter to see their energy efficiency building technologies and research. Traveler will also visit the ComEd with the train workers in managing a modern electric delivery system. Finally, traveler will visit Shed ing Management System (8MS) which allows them to significantly reduce their energy consumption.
Name of accompanying family members Relationship to Employee: Spouse	
Kelationship to Employee: Spouse	
I certify that the information contained $\frac{2317}{(Date)}$	in this form is true, complete and correct to the best of my knowledge: (Signature of Employee)
Secretary for the Majority, Secretary for the	G SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, the Minority, and Chaplain): Hospital Secretary of the Senate, Sergeant at Arms, the Minority, and Chaplain): Hospital Hosp
an employee under my direct supervis	ion, to accept payment or reimbursement for necessary transportation, lodging, and t described above. I have determined that this travel is in connection with his or her ceholder, and will not create the appearance that he or she is using public office for
I have also determined that the attendation of the Senate. (signify "yes" by checking (Date)	ance of the employee's spouse or child is appropriate to assist in the representation (box) Signature of Supervising Senator/Officer)



Using less. Doing more.

U.S. Sen, leanire Shaheen

10 TOPARY VICE-CHAIRS U.S. Sen, Chris Cooks U.S. Sen. Rob Portman

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JU Surveyer Leiter

Sections Function Declarations

June 19, 2017

garah Mae Stevens

Environmental Policy Adviser U.S. Senator Ben Cardin 509 Hart Senate Office Building Washington, DC 20510

Sarah Dear Mae:

The Alliance to Save Energy invites you to join us on a fact-finding trip to Chicago, IL to learn about policies and best practices that are helping to drive advances in energy productivity across the country. As currently planned, this trip will include several visits in Chicago and is scheduled for July 21, 2017. We have invited staff members from both sides of the aisle and from both Chambers to participate, to ensure well-rounded and robust discussions.

Over the course of the day, we will visit a building technologies innovation center, tour the area's largest and most efficient aquarium and explore a utility training center that trains current and future workers on managing a modern electric delivery system. We also plan to learn from discussions with local officials and members of the Illinois state legislature, Governor's office and representatives from the office of the Mayor of Chicago.

This fact-finding mission is an excellent opportunity for Congressional staff to learn about state activities and businesses' progress to improve their energy productivity. The exchange can be used to develop, refine and advance policies at the federal, state and local levels.

Thank you in advance for your consideration. We expect a significant level of interest in this trip and, with limited capacity, we encourage you to respond at your earliest convenience as we will be accepting participants on a first-come, first-served basis. To meet the requirements set by the Ethics Committee, we will finalize the agenda and attendee list by June 16th. If you would like to join us, or have any questions regarding the trip, please feel free to get in touch with Reed Brown, the Alliance's Senior Associate for Government Affairs, at rbrown@ase.org or (202) 530-2241.

Best,

President, Alliance to Save Energy -+